

Potential to improve precipitation forecasts in Texas through the incorporation of multiple teleconnections

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16 **Abstract**

17 Climate oscillations are one of the primary factors that influence precipitation. This study
18 uses canonical correlation analysis (CCA) to examine how El Niño-Southern Oscillation
19 (ENSO), Atlantic Multidecadal Oscillation, North Atlantic Oscillation, Pacific Decadal
20 Oscillation, and the Pacific-North American pattern influence precipitation in Texas. This
21 study identifies the months, regions, and time lags where the relationships between climate
22 oscillations and precipitation are strongest. Correlation results indicate that ENSO accounts
23 for the greatest amount of precipitation variance in Texas. However, including all five
24 climate oscillations is important and together they account for a greater amount of the
25 variance in precipitation than any individual climate oscillation. Precipitation in southern
26 Texas is more strongly influenced by climate oscillations than other regions in Texas. The
27 CCA results demonstrate that there are statistically significant relationships between the
28 climate oscillations and precipitation at time lags longer than 6 months during the summer
29 and at time lags shorter than 6 months during the winter. Based on the CCA results, a
30 precipitation forecast model was developed for the three climate regions that we defined.
31 In the cases of January, the Heidke Skill Score (HSS) of our model is comparable or higher
32 to those achieved by the Climate Prediction Center (CPC) in each region. For all of the 36
33 month/region cases (12 months * 3 regions), there are 50% cases that the HSS of our model
34 is comparable or higher to those achieved by the CPC. The results of this study illustrate
35 that including multiple teleconnections can increase forecast skill, and statistical methods
36 are useful for precipitation forecasting at a 0-month lead time.

37 **Keywords:**

38 Climate Oscillations, Precipitation Forecast, Canonical Correlation Analysis, Texas

39 **1. Introduction**

40 Drought is a recurrent natural hazard that arises from a considerable deficiency in
41 precipitation [Zargar *et al.*, 2011]. The occurrence of drought has impacts on agriculture,
42 hydrology, ecosystems, society, and the economy [Heim, 2002; Quiring and Papakryiakou,
43 2003; Zargar *et al.*, 2011]. Climate oscillations have been shown to be one of the factors
44 that causes variations in precipitation [Ning and Bradley, 2014; Trenberth, 2011]. A
45 climate oscillation is defined as a slowly varying change of climate about a mean that recurs
46 with some regularity [American Meteorological Society (2016)]. An understanding of the
47 interactions between climate oscillations and precipitation variability is vital for the
48 prediction and mitigation of drought.

49 A great deal of previous research has focused on how El Niño-Southern Oscillation
50 (ENSO) affects precipitation. For example, Hunt [2015] performed a multi-millennial
51 simulation with a coupled global climatic model to investigate extreme rainfall events in
52 the Dust Bowl region, located in the southern Great Plains. This region was characterized
53 by a persistent drought and associated dust storms during the 1930s [Schubert *et al.*, 2004].
54 Schubert *et al.*, [2004] found that ENSO has a significant impact on the generation of
55 rainfall anomalies at an interannual timescale. In contrast, Hu and Feng [2001] analyzed
56 the effects of ENSO on the interannual variations in summer rainfall in the central United
57 States and found that there is no persistent effect of ENSO on the summer rainfall in the
58 central United States. The correlations between summer rainfall and tropical Pacific SSTs
59 were strong during 1871-1916 and 1948-1978, but the relationship was weak during 1917-
60 1947 and 1979-present. There are also studies regarding the impact of other teleconnections
61 on precipitation, such as the Atlantic Multidecadal Oscillation (AMO) [Schlesinger and

62 *Ramankutty*, 1994], North Atlantic Oscillation (NAO) [*Wallace and Gutzler*, 1981], Pacific
63 Decadal Oscillation (PDO) [*Mantua and Hare*, 2002], and Pacific-North American pattern
64 (PNA) [*Wallace and Gutzler*, 1981]. For example, *Hurrell* [1995] found that changes in
65 the mean circulation patterns over the North Atlantic are accompanied by shifts in storms
66 tracks and synoptic-scale eddy activity. These changes affect the transport and convergence
67 of atmospheric moisture and consequently alter regional precipitation. *Sutton and Hodson*
68 [2005] demonstrated that the boreal summer climate was affected by the AMO on
69 multidecadal timescales during the 20th century. *Leathers et al.* [1991] found that the PNA
70 was highly correlated with regional temperature and precipitation from 1947 to 1982 for
71 the fall, winter, and spring months when the PNA serves as a main mode of North
72 Hemisphere mid-tropospheric variability. *McCabe et al.* [2004] demonstrated that climatic
73 oscillations occurring at the decadal scale such as the AMO and PDO have been found to
74 explain around half of the variance in drought frequency across the United States since the
75 1900s. While the AMO and PDO are important for explaining precipitation variability
76 when considered by themselves, decadal climate oscillations also tend to modulate the
77 impact that ENSO has on precipitation. *Enfield et al.* [2001] found that the AMO has a
78 significant impact in the Mississippi River basin, but not in the Okeechobee river basin. In
79 Texas, the warm phases of the AMO greatly diminish the well-known positive relationship
80 between ENSO and precipitation during the winter season (DJF). *Schubert et al.* [2016]
81 investigated the relationships between sea surface temperatures (SST) and precipitation
82 variability on a global scale. In North America they found that SST variability in the
83 tropical Pacific is the dominant factor that influences precipitation, with some contribution
84 from Atlantic SSTs. Therefore, at interannual time scales, ENSO is the primary driver of

85 precipitation variability throughout much of North and South America. At decadal time
86 scales, the AMO and PDO are the primary drivers of precipitation variability. *Cook et al.*
87 [2014] investigated the pan-continental droughts in North America over the last
88 Millennium. They defined pan-continental drought as synchronous drought in three regions.
89 The results showed that droughts in the Southwest and Central Plains occur in conjunction
90 with either the Southeast or Northwest during La Niña conditions, while droughts in
91 Central Plains, Northwest, and Southeast are primarily associated with the PDO and AMO.

92 These studies demonstrate that precipitation variability across space and time is
93 influenced by climate oscillations. However, because the impact of each climate oscillation
94 does not occur in isolation, it is important to analyze the impact that multiple
95 teleconnections jointly have on precipitation variability. *Stevens and Ruscher* [2014]
96 investigated the impact of AMO, NAO, PDO and ENSO on temperature and precipitation
97 in the Apalachicola-Chattahoochee-Flint (ACF) River Basin, which supplies water to
98 Alabama, Georgia, and Florida. Their results showed that each of the sub-basins of the
99 ACF are affected in a unique way by climatic oscillations, and no single climatic oscillation
100 can adequately explain/predict the variations in meteorological conditions. *Wise et al.*
101 [2015] analyzed the associations of cool-season precipitation patterns in the United States
102 with teleconnection interactions, including ENSO, NAO, PNA, East Atlantic pattern (EA)
103 and West Pacific pattern (WP). Their results emphasized the importance of considering
104 multiple climatic oscillations when forecasting the seasonal rainfall variability. *Ning and*
105 *Bradley* [2014] also studied the relationships between winter precipitation variability and
106 teleconnections over the northeastern United States. Their correlation analysis showed that
107 the first Empirical Orthogonal Function (EOF) pattern is significantly correlated with PNA

108 and PDO, the second EOF pattern is significantly correlated with NAO and AMO, and the
109 third EOF pattern is associated with ENSO, PNA and PDO. Therefore, multiple
110 teleconnections should be considered when analyzing the relationship between climate
111 oscillations and precipitation variability. The aforementioned research has shown that
112 ENSO, NAO, AMO, PNA and PDO are the major climate oscillations that have an impact
113 on precipitation in the United States; therefore, this study will investigate the impacts of
114 the five climate oscillations on precipitation variability in Texas.

115 Only simultaneous relationships (zero lead time) between teleconnections and
116 precipitation were evaluated in the studies described above. However, there can be
117 significant time lags between teleconnections and precipitation. For example, *Redmond*
118 and *Koch* [1991] analyzed how ENSO and PNA influence precipitation, temperature, and
119 streamflow in the western United States. Their results indicated that June-November ENSO
120 was strongly correlated with October-March precipitation, suggesting that the winter
121 precipitation was related to ENSO at a six-month time lag. *Harshburger et al.* [2002] also
122 demonstrated that the state of ENSO during the fall season can be used to predict winter
123 precipitation in the western U.S. *McCabe and Dettinger* [1999] investigated the
124 relationship between ENSO during fall season and the winter precipitation. Their results
125 indicated that the strength of the correlations between fall ENSO and winter precipitation
126 in the western U.S. varied over space and time during the 20th century. When PDO is
127 negative, the relationship between ENSO and precipitation is strong. When PDO is positive,
128 ENSO and precipitation are weakly correlated. *Brown and Comrie* [2004] studied the
129 impact of fall ENSO on winter precipitation in the western U.S. They found significant
130 correlations between fall ENSO and winter precipitation in the Southwest U.S. Specifically,

131 they found that wet winters tend to follow El Niño events, and dry winters follow La Niña.
132 Our study will also investigate the lagged relationships between multiple teleconnections
133 and precipitation.

134 The state of Texas frequently experiences drought [*Stahle and Cleaveland, 1988*]. The
135 four most significant droughts in Texas during the last century occurred in 1916-1918,
136 1925, 1948-1957, and 2010-2011 [*Hoerling et al., 2013*]. The increased potential
137 evapotranspiration that accompanies the warmer temperatures that are characteristic of
138 Texas create an environment in which drought can occur even with minor precipitation
139 deficits [*Nielsen-Gammon, 2011*]. Droughts in Texas are caused by numerous factors,
140 including natural atmospheric variability (i.e., climate oscillations), land-atmosphere
141 interactions, and thermodynamic conditions [*Fernando et al., 2016; Myoung and Nielsen-*
142 *Gammon, 2010; Seager et al., 2014*]. This paper investigates the simultaneous and lagged
143 relationships between Texas precipitation and ENSO, NAO, AMO, PNA and PDO. The
144 goals of this paper are to: (1) determine which climate oscillation accounts for the greatest
145 amount of precipitation variance in Texas, (2) identify the regions and months (or seasons)
146 where climate oscillations have the largest impact on precipitation, (3) identify at what time
147 lag the relationship between climate oscillations and precipitation are strongest and (4)
148 forecast precipitation based on multiple climate oscillations and compare with the
149 precipitation forecast from Climate Prediction Center (CPC).

150 **2. Data**

151 **2.1 Precipitation**

152 Monthly precipitation data from the PRISM (Parameter-elevation Regressions on
153 Independent Slopes Model) dataset were used in this study

154 (<http://www.prism.oregonstate.edu>). PRISM was developed by the Spatial Climate
155 Analysis Service at Oregon State University. The gridded data are generated by
156 interpolating meteorological data from approximately 13,000 surface stations and
157 incorporating spatial information including elevation, slope, rain shadows, temperature
158 inversions, and coastal effects [Daly *et al.*, 2002; Daly *et al.*, 2008; Daly *et al.*, 1994]. The
159 monthly PRISM datasets are available at 2.5 arcmin (4 km) resolution from January 1895
160 to the present. The PRISM dataset is ideal for this study because it provides a long and
161 consistent record [Mishra and Singh, 2010]. Data used in this study cover a 110-year period
162 from 1901 to 2010.

163 **2.2 Climatic Oscillations**

164 Five climate oscillations are investigated in this study: ENSO, NAO, AMO, PNA, and
165 PDO. ENSO is the most frequently studied climatic oscillation. During an El Niño event,
166 easterly trade winds weaken or reverse and cause anomalous warming of the ocean surface,
167 changing patterns of meteorological variables such as precipitation [Stevens and Ruscher,
168 2014]. The NINO3.4 SST anomaly is used in this study to represent ENSO conditions. It
169 is based on departures from the three-month running mean of SSTs in the NINO3.4 region.
170 Positive NINO3.4 values are associated with El Niño events, while negative values indicate
171 La Niña events. NINO3.4 SST anomaly data from 1901 to 2010 can be downloaded from
172 the NOAA PSD website (http://www.esrl.noaa.gov/psd/gcos_wgsp/Timeseries/Nino34/).
173 The NINO3.4 SST index is calculated from the Hadley Centre Sea Ice and Sea Surface
174 Temperature data set (HadISST1). It is the area averaged SST from 5°S-5°N and 170°-
175 120°W [Rayner *et al.*, 2003].

176 The NAO is an atmospheric oscillation in the North Atlantic Ocean. The NAO index
177 from the Climate Research Unit is defined as the normalized pressure difference between
178 a station located in the Azores and a station in Iceland [*Stevens and Ruscher*, 2014]. The
179 NAO index from 1901 to 2010 can be downloaded from the NOAA PSD website
180 (http://www.esrl.noaa.gov/psd/gcos_wgsp/Timeseries/NAO/).

181 The AMO is a 60-85 year cycle of variable SSTs in the North Atlantic Ocean that has
182 been shown to correlate with precipitation in the United States [*Stevens and Ruscher*, 2014].
183 The AMO index is calculated using the Kaplan SST as the detrended time series of the area
184 weighted averaged SST over the North Atlantic from 0° to 70°N [*Enfield et al.*, 2001]. The
185 smoothed AMO index from 1901 to 2010 can be downloaded from NOAA PSD
186 (http://www.esrl.noaa.gov/psd/gcos_wgsp/Timeseries/AMO/).

187 The PNA index indicates the nature of atmospheric circulation in the Northern
188 Hemisphere. A positive phase of the PNA indicates meridional flow with an enhanced jet
189 stream while a negative phase indicates zonal flow [*Henderson and Robinson*, 1994]. The
190 PNA index is calculated using the 500 mb heights from the 20th Century Reanalysis Project
191 Version V2 dataset. Area-averaged geopotential heights from four regions in the Northern
192 Hemisphere are combined for the PNA index [*Barnston and Livezey*, 1987]. The PNA
193 index data from 1901 to 2010 can be downloaded from NOAA PSD
194 (http://www.esrl.noaa.gov/psd/data/20thC_Rean/timeseries/monthly/PNA/).

195 The PDO is based on monthly SST variability in the North Pacific Ocean. The PDO
196 index is calculated based on the EOF analyses of the monthly SST anomalies in the North
197 Pacific [*Mantua et al.*, 1997]. The PDO index from 1901 to 2010 can be downloaded from
198 NOAA PSD http://www.esrl.noaa.gov/psd/gcos_wgsp/Timeseries/PDO/.

199 **3. Methods**

200 **3.1 Precipitation anomalies**

201 Monthly precipitation data were converted into precipitation anomalies using Equation
202 1,

203
$$PA_i = P_i - PM_i \quad (1)$$

204 where PA_i is the monthly precipitation anomaly, P_i is the monthly precipitation data for the
205 given month, and PM_i is the mean monthly precipitation for the given month.

206 **3.2 Empirical Orthogonal Functions**

207 Empirical Orthogonal Functions (EOFs) were used to identify regions in Texas that
208 have similar precipitation. EOFs are commonly used for regionalization because they can
209 effectively reduce dimensionality and extract patterns [Hannachi *et al.*, 2007; Lorenz, 1956;
210 Navarra and Simoncini, 2010]. EOF analysis was performed using the gridded monthly
211 precipitation anomalies from January 1901 to December 2010 at all locations in Texas. The
212 first step of EOF analysis is to calculate correlation coefficients among all variables.

213 A VARIMAX (orthogonal) rotation method was applied because it simplifies the
214 structure of the resultant patterns by forcing the value of the loading coefficients towards
215 zero or ± 1 [Hannachi *et al.*, 2007]. The VARIMAX rotation technique is a popular method
216 used in climate regionalization studies because the rotation tends to produce more spatially
217 coherent regions [White *et al.*, 1991]. An unrotated EOF is primarily used as a data
218 reduction technique and is not appropriate for climate regionalization [Yarnal, 1993]. After
219 rotation, each grid cell was assigned to the factor on which they had the highest loadings.

220 The first three factors were retained and collectively they explain more than 85% of the
221 variance.

222 Figure 1 shows the precipitation regions identified using the rotated EOFs. Region 1 is
223 located in northeastern Texas and it experiences the greatest precipitation variability with
224 a 48.45 mm standard deviation. Region 2 is located in northwestern Texas and it
225 experiences the least precipitation variability with a 25.58 mm standard deviation. Region
226 3 is located in southern Texas and its standard deviation is 40.63 mm. The regional
227 precipitation anomalies shows that there is no significant trend in regional precipitation
228 over the study period. Mean annual precipitation in Texas has a distinct east-to-west
229 gradient. Based on the 1971 to 2000 normals [Committee, 2006], the mean annual
230 precipitation is highest in eastern Texas (~1500 mm) and lowest in western Texas (~300
231 mm).

232 **3.3 Canonical Correlation Analysis**

233 Canonical correlation analysis (CCA) was used to analyze the relationships between
234 precipitation and the five teleconnections. Simultaneous (no lag) and lagged relationships
235 (1, 3, 6, 12, and 24-month lags) were evaluated using monthly and seasonal data. Seasons
236 were defined using the normal climatological convention of winter (DJF), spring (MAM),
237 summer (JJA), and fall (SON).

238 CCA is a linear multivariate approach used to compare two sets of data, independent
239 and dependent, with each set composed of multiple arrays of variables [Thompson, 2005].
240 CCA attempts to find relationships between a set of predictor variables and a set of
241 predicted variables. The linear combinations represent the weight of at least two variables
242 from the respective set, therefore creating the two variant arrays (U_1 & V_1) seen in Equation

243 2, in which x represents the precipitation anomalies, y represents the climate oscillations,
244 a represents the coefficients for precipitation, and b represents the coefficients of the
245 climate oscillations [Borga, 2001; Stevens and Ruscher, 2014].

$$U_1 = a_1x_1 + a_2x_2 + \cdots a_nx_n$$

$$V_1 = b_1y_1 + b_2y_2 + \cdots b_ny_n \quad (2)$$

248 The loading matrices calculated using Equation 2 produce canonical loadings, which
249 are linear correlations between the variables and the variate. The loadings are used to
250 calculate the canonical cross loadings that determine the linear correlation between the
251 independent variable and dependent variable. The canonical cross loadings of the climate
252 oscillations are estimated using the correlation coefficient in Equation 3 where S_{xx} and S_{yy}
253 are variance-covariance matrices of the respective variable and S_{xy} and S_{yx} and the
254 covariance matrices of precipitation and the climate oscillations [Stevens and Ruscher,
255 2014].

$$r_c b = [S_{yy}]^{-1} [S_{xy}] [S_{xx}]^{-1} [S_{yx}] b \quad (3)$$

257 In this study, the dependent variable set is precipitation anomalies at different lags and
258 the independent set is the five climatic oscillations. The canonical loadings and cross
259 loadings are used to understand the relationships, while the canonical correlation values
260 and proportion of variance explained in the dependent variables by the independent variate
261 are used to examine the overall strength of each analysis. This approach allows us to
262 simultaneously examine the impacts of climatic oscillations on precipitation variations
263 [Stevens and Ruscher, 2014].

264 CCA provides information about (1) the varying effects of climate oscillations in
265 different regions, and (2) how the strength of the relationships change for each time lag.

266 Canonical roots that are not statistically significant at the 95% confidence level were
267 eliminated based upon the methods used by *Stevens and Ruscher* [2014].

268 **3.4 Monthly Precipitation Prediction**

269 A CCA-based linear regression model was developed to evaluate whether climate
270 oscillations can be used to produce skillful monthly forecasts of precipitation in Texas. The
271 linear regression model uses weights for each of the climate oscillations calculated as the
272 dividend between the canonical loadings and the dependent and independent arrays. The
273 CCA-based forecast model was built using data from 1901-1980 and evaluated using data
274 from 1981 to 2010.

275 The Heidke Skill Score (HSS) was used to evaluate the skill of the precipitation
276 forecast and to facilitate comparison to the skill of the CPC monthly precipitation forecast.
277 The HSS was calculated based on observed and predicted precipitation values from 1981-
278 2010 which were grouped into three percentile ranges based upon their distribution; below
279 normal, average, and above normal. This was done to standardize the precipitation
280 predictions in a manner that is consistent with the methodology used by CPC. Since the
281 CPC precipitation forecast skill scores are based on observed and predicted precipitation
282 data from 1981 to 2010 [CPC, 2016a], the skill score of the CCA-based model was also
283 calculated using precipitation data from 1981 to 2010. The HSS values were calculated
284 using Equation 4,

285
$$HSS = \frac{(NC-E)}{(T-E)}$$
 (4)

286 Where NC is the number of correct forecasts, T is the total number of forecasts, and E
287 is the number of forecasts expected to verify based upon climatology.

288 **4. Results**

289 **4.1 CCA Results**

290 Figure 2 shows the simultaneous correlations (no lag) between each climate oscillation
291 and precipitation for each month in each Texas regions. Only correlations that are
292 statistically significant at the 95% confidence level are shown. ENSO has the most
293 statistically significant correlations with precipitation, followed by PNA, PDO, NAO, and
294 AMO. There are a total of 36 month/region combinations (12 months * 3 regions) and there
295 is a statistically significant correlation between ENSO and precipitation in 19 of the 36
296 cases (53%). There is a statistically significant correlation between PNA and precipitation
297 in 28% of these combinations. PDO, NAO, and AMO have statistically significant
298 correlations in 22%, 11%, and 0% of these 36 combinations, respectively.

299 Figure 3 shows how the correlations between multiple climate oscillations and
300 precipitation vary by month and region. Correlations were calculated for the following
301 combinations of climate oscillations: ENSO, ENSO/PNA, ENSO/PNA/PDO,
302 ENSO/PNA/PDO/NAO, and ENSO/PNA/PDO/NAO/AMO. Most of the statistically
303 significant correlations occur during the winter months and the number of significant
304 correlations increases as additional climate oscillations are included. Even the AMO, which
305 did not have any statistically significant correlations during the univariate analysis, helped
306 to explain more of the variance in precipitation when included with other climate
307 oscillations. Not surprisingly, our results show that the inclusion of additional climate
308 oscillations is helpful for explaining precipitation variability in Texas.

309 Next, the dependent cross loadings were calculated as the correlation between the
310 observed dependent variable (i.e., precipitation) and the opposite canonical variate, which
311 is the linear combination of the five climate oscillations (Figure 4). Similar to the

312 correlations, most of the significant cross loadings are observed during the winter months.
313 Additionally, most of the significant cross loadings during the winter occurred at shorter
314 time lags, while there were more significant cross loadings at longer time lags during the
315 summer months. Specifically, in 39 out of 43 cases, the cross loadings during October to
316 March of the following year occurred at less than 3-months lags. In 27 out of 38 cases, the
317 cross loadings during April to September occurred at no less than 3-months lags (Figure
318 4).

319 **4.2 Precipitation Forecast Results**

320 As described above, a CCA-based linear regression model was developed to evaluate
321 whether the climate oscillations can be used to produce skillful monthly forecasts of
322 precipitation in Texas. Figure 4 shows that most of the statistically significant cross
323 loadings occurred during the winter. Therefore, January was selected to build the CCA-
324 based regression model for the three regions. To compare the CCA-based forecast skill to
325 that of the CPC, the CCA-based regression model was built using all five climate
326 oscillations at a 0-month lead. That is, climate oscillations from December are used to
327 forecast January precipitation. A second regression model was also built using only ENSO.
328 The skill of this model will be compared to that of the CCA-based model that uses all 5
329 climate oscillations. This will show the relative value of including additional oscillations.

330 Table 1 shows how the performance of these models varies by month and region. Both
331 models perform best in region 3. The model that uses all five climate oscillations has an R^2
332 of 0.48 and an MAE of 22.46 mm. The ENSO-only model has an R^2 of 0.41 and an MAE
333 of 24.25 mm. The regression model with all five climate oscillations has a little bit better

334 performance than the regression model with only ENSO because the regression model with
335 five teleconnections explained more variance of the precipitation.

336 The precipitation forecasts are least skillful in region 2. In fact, both the ENSO-only
337 and five variable model are not statistically significant. The performance of the regression
338 model with all five teleconnections for region 1 is better than the regression model with
339 only ENSO, even though the regression model with all five teleconnections only has an R^2
340 of 0.15. The ENSO-only model is not statistically significant. The comparison of the two
341 types of regression models suggests that using a prediction model based solely on the five
342 teleconnections can produce somewhat better predictions of precipitation in some regions
343 in Texas than the model only based on ENSO. The various performances of the regression
344 models for the three regions in Texas is related with the cross loadings between the multiple
345 teleconnections and precipitation. The cross loadings for region 3 are highest in January,
346 followed by the cross loadings for region 1 and 2 (Figure 4). The performance of the
347 regression model is best for region 3, followed by region 1, while region 2 has the worst
348 performance. The errors of the regression models for all regions are high. This indicates
349 that the CCA model cannot accurately predict the magnitude of the precipitation anomalies.
350 However, it is not uncommon that the skill of monthly to seasonal forecasts is relatively
351 low [McCabe and Dettinger, 1999; Barnston *et al.*, 1996]. Therefore, in the next section
352 of the paper we compare the climate oscillation-based forecasts developed in this paper to
353 the CPC forecasts.

354 **4.3 Comparing Forecast Skill to CPC**

355 The CPC provides monthly precipitation forecasts at a 0-month lead. The 0-month lead
356 of precipitation forecast is created and updated the last day of the month for the following

357 month. Therefore, all data in the initial month are used to predict precipitation in the
358 subsequent month. Our precipitation forecast is similar with this type of CPC monthly
359 precipitation forecast. Both the CCA-based forecast model and the CPC forecast model
360 utilize all antecedent precipitation data from the first month to predict precipitation in the
361 following month. The difference between CCA-based forecast and the CPC forecast is the
362 methodology. The CCA-based forecast utilizes a regression model that includes the five
363 teleconnections. The CPC forecast is primarily based on a dynamical model [CPC, 2016b].
364 The dynamical model uses a set of current precipitation observations and equations
365 describing the physical behavior of the precipitation system to predict the precipitation in
366 a short time future. Then, the predicted precipitation data are used as the initial condition
367 for a subsequent prediction for the next time-step until the future prediction time is reached.
368 The CPC reports the Heidke Skill Score for various regions (Figure 5). Because the regions
369 that are used by CPC do not match the regions that were defined in this study using EOF
370 analysis and the years used by the CPC do not match the years of our study, it is difficult
371 to directly compare forecast skill. Therefore, we have presented the results in two different
372 ways, a direct comparison and an indirect comparison. The direct comparison evaluates the
373 forecast skill from 2005 to 2010. The indirect comparison evaluates the CCA forecast skill
374 from 2000 to 2010 and the CPC forecast skill during 2005 to 2015, so that there is a larger
375 sample size of predictions even though the years may not match.

376 Table 2 displays the results of the direct comparison during 2005-2010 for the three
377 regions in Texas. Since the regions used by CPC do not match the regions that were defined
378 using EOF analysis in this study, several corresponding CPC regions were used in this
379 comparison. Region 1 defined by EOF in this study approximately includes Region 60 and

380 Region 61 from the CPC. Region 2 defined by EOF in this study approximately includes
381 Region 54, Region 55, Region 64, and Region 65 from the CPC. Region 3 defined by EOF
382 in this study approximately includes Region 62 and Region 63 from the CPC. For Region
383 1, the HSS for the CCA model is higher than the HSS for the CPC in Regions 60, but lower
384 than the CPC in Regions 61. The HSS of the CCA model is lower than the average skill
385 score for Regions 60-61. For Region 2, the HSS for the CCA model is higher than the HSS
386 for the CPC in Regions 64 and Region 65, but lower than the CPC in Regions 54 and
387 Region 55. The HSS of the CCA model is higher than the average skill score for these four
388 regions. In Region 3, the HSS for the CCA model is higher than the HSS for the CPC in
389 Regions 62, but lower than the CPC in Regions 63. The HSS of the CCA model is higher
390 than the average skill score for Regions 62-63. Since these scores may be affected by the
391 smaller sample size of six years, an indirect comparison of forecast skill was also
392 performed (Table 2).

393 As the sample size increases, the forecast evaluation should approach the true skill and
394 become more stable. The HSS of the indirect comparison is similar to the direct comparison.
395 The HSS of the CCA model in Region 1 is higher than the HSS from the CPC in Region
396 60 but lower than the HSS of the CPC in Region 61. For Region 2, the HSS of the CCA
397 model is higher than the HSS of the CPC regions. In Region 3, the HSS of the CCA model
398 is higher than the HSS of the CPC in Region 62 but lower than the HSS of the CPC in
399 Region 63. However, the HSS for the CCA model is higher than the average HSS for the
400 CPC regions for all cases. One limitation of the indirect comparison is that the years used
401 to assess forecast skill are not same for the CPC and the CCA. However, these results

402 support what was found in the direct comparison and suggest that the skill of the CCA
403 model is equivalent or better than the CPC in most locations and timescales.

404 The HSS of the CCA and CPC models were also compared for all other months. The
405 results show that in 18 out of 36 cases (12 months * 3 regions) the HSS for the CCA model
406 is comparable or higher than the average HSS for the CPC regions in the direct comparison.
407 In the indirect comparison, HSS for the CCA model is higher than the average HSS for the
408 CPC regions in only 13 of 36 cases. Even though in less than 50% cases the HSS of the
409 CCA model is better than the CPC forecast, the results of this study can be useful for
410 precipitation forecasting at a 0-month lead time during months when the performance of
411 CCA model is better than CPC forecast.

412 **5. Discussion and Conclusion**

413 Correlations between the five climate oscillations and precipitation indicated that
414 ENSO accounts for the greatest amount of precipitation variance in Texas. Many previous
415 studies have also shown that ENSO is the most important factor that affects precipitation
416 variability [Barnston *et al.*, 1996; Dai and Wigley, 2000; Ropelewski and Halpert, 1996].
417 However, across nearly every month and region, the correlations between the climate
418 oscillations and precipitation variability were stronger when the combined impact of
419 multiple teleconnections was considered. This result is consistent with previous studies
420 such as Stevens and Ruscher [2014], Wise *et al.* [2015], and Ning and Bradley [2014].
421 Stevens and Ruscher [2014] indicated that the sub-basins of the ACF are affected
422 differently by multiple climatic oscillations, and no particular climatic oscillation can
423 explain surface meteorological variation. Wise *et al.* [2015] also emphasized the

424 importance of considering multiple climatic oscillations when forecasting the seasonal
425 rainfall variability.

426 Using this knowledge, CCA was applied to identify the months, regions, and time lags
427 where the relationships between teleconnections and precipitation are the strongest.

428 Dependent cross loadings were used to provide a means for quantifying the relationship
429 between the five combined teleconnections and the precipitation anomalies at various time
430 lags. The results of the CCA analysis were generally in agreement with the correlation
431 results. The strongest canonical cross loadings occurred during the winter and there were
432 more time lags that were statistically significant during the winter. These results agree with
433 studies such as *Hu and Feng* [2001] and *Leathers et al.* [1991] which suggest that
434 teleconnections have a stronger impact on North American precipitation during the fall,
435 winter, and spring. Statistically significant relationships were found for longer time lags ($>$
436 6 months) during the summer months, while most of the statistically significant
437 relationships were found at shorter time lags (< 6 months) during the winter. These findings
438 are supported by previous studies that observed the strongest relationships between
439 precipitation and teleconnections during the winter months [*Leathers et al.*, 1991; *Ning and*
440 *Bradley*, 2014; *Sutton and Hodson*, 2005; *Wise et al.*, 2015]. There were differences in the
441 strengths of the canonical loadings and the performance of the CCA forecasts across the
442 three regions of Texas used in this study. The differences in performance suggests that our
443 EOF-based regionalization successfully identified three climatically distinct regions.

444 A CCA-based forecast model was developed using five climate oscillations. The model
445 was shown to have forecast skill that was similar, and in some cases, better than the CPC.
446 While the monthly forecasts for the CPC generally use dynamical models for precipitation

prediction, the results of this study suggest that statistical methods could improve the quality of forecasts, particularly during situations when the dynamical model performs poorly. Since one of the objectives of this paper was to determine the value of considering multiple teleconnections, the results of the CCA-based model was compared to a model using only ENSO. The results show that the CCA-based model was slightly better than the model using only ENSO. The correlations between the teleconnections and precipitation shows the CCA-based model can explain more of precipitation variance. Additionally, the p-values of the CCA-based model are statistically significant at a 95% confidence level in regions 1 and 3, indicating that the model predictions are significantly different than a forecast utilizing solely the mean precipitation (climatology forecast). The ENSO-based model is only statistically significant in region 3. However, the errors of CCA-based model are higher than the model using only ENSO in some regions. Generally, the impacts of teleconnections are strongest in Region 3, which is located in the southern Texas. ENSO is the primary factor influencing the precipitation in Texas and its impacts in Region 3 is stronger than in other regions. This result is consistent with the study of *Stevens and Ruscher* [2014]. *Stevens and Ruscher* [2014] found that the southern part of the ACF basin is influenced by ENSO more strongly than other parts of the basin. The impacts of ENSO in the southern United States are likely related to the subtropical jet stream. During El Niño events, the strengthened subtropical jet shifts the winter storm tracks to the south and this brings more energy and moisture in the region [*Redmond and Koch*, 1991; *Wise et al.*, 2015]. Therefore, in El Niño years, the Southwest, Southeast, and Great Plains in the United States tend to be wetter than normal. While, in La Niña years, these regions are dryer than normal [*Wise et al.*, 2015]. Overall, using multiple teleconnections is valuable

470 for explaining and predicting precipitation patterns in Texas. The relative importance of
471 these teleconnections varies by region, month, and time lag. The results presented here
472 suggests that the CCA-based model using only five teleconnections is able to adequately
473 forecast precipitation variability in Texas.

474 Further research will evaluate whether including additional teleconnections can
475 improve the accuracy of precipitation forecasts. In addition, it may also be useful to explore
476 other statistical modeling approaches such as weighted multiple linear regression model
477 using canonical weights to improving the forecasts. Finally, the skill of the CCA forecast
478 model was evaluated over a multi-year period. It may be more helpful to evaluate how
479 forecast skill changes during years when there are strong ENSO events. It is likely that the
480 skill of the model varies significantly over time and that it is strongest during ENSO events
481 and that the skill weakens when there are not strong remote forcings.

482 Texas is a region where there are relatively strong relationships between
483 teleconnections and precipitation, particularly ENSO. However, the CCA analysis
484 employed in this paper can be applied to diagnose the impacts of multiple teleconnections
485 on precipitation variability in other regions around the world. While the CCA-based model
486 can effectively predict precipitation with skill comparable to the CPC, climate oscillations
487 only explain around half of the precipitation variability. While the purpose of this study
488 was to observe the impact teleconnections have on precipitation at various time lags, the
489 seasonal forecasting of precipitation could improve with the additional consideration of
490 variables not related to teleconnections. Antecedent temperature, precipitation, and soil
491 moisture could help to improve the forecast. Land-based hydrological processes also have
492 influence on precipitation variability [Koster and Suarez, 1995; Koster *et al.*, 2000]. *Koster*

493 and Suarez [1995] investigated the impacts of sea surface temperatures and land surface
494 hydrological state to the annual and seasonal precipitation variability. They found that the
495 land surface's impacts on the precipitation variability is greatest during summer when the
496 precipitation processes are very sensitive to surface conditions. Koster *et al.* [2000]
497 indicated precipitation anomalies can be amplified by land surface processes. A positive
498 precipitation anomaly can lead to an evaporation anomaly through land-atmospheric
499 feedback, which in turn leads to additional precipitation through water recycling. Since
500 evaporation is related with soil moisture and temperature, soil moisture and temperature
501 can be used to improve the precipitation forecast. These types of studies are useful for
502 examining other areas which could improve precipitation forecasts, while this study
503 focuses primarily on identifying the strength and nature of the relationship between
504 precipitation and various teleconnections in Texas.

505

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510 **References:**

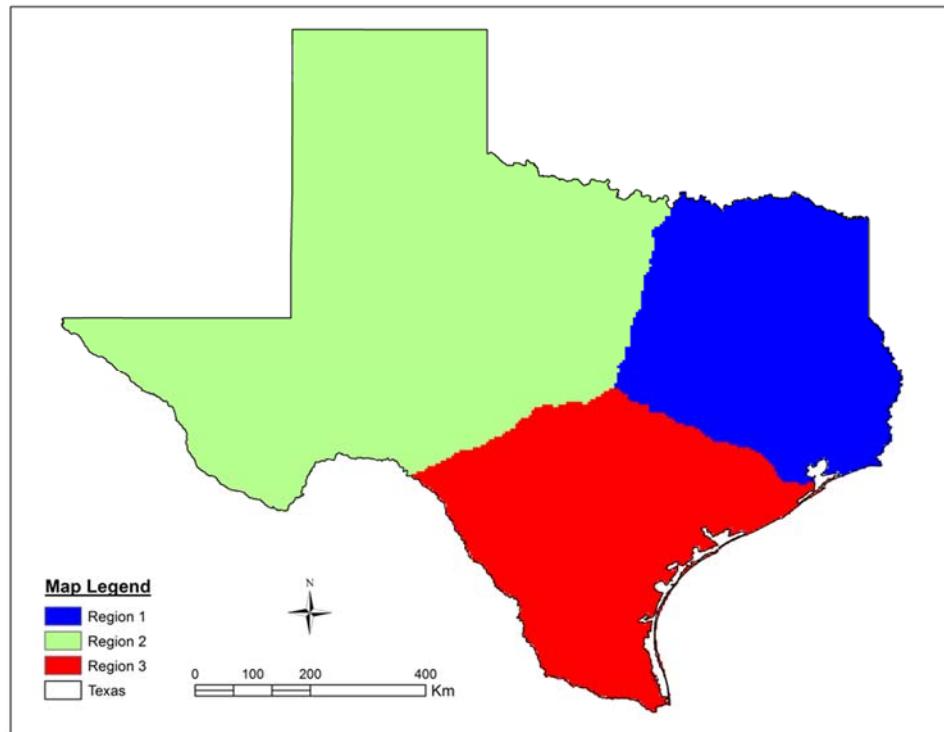
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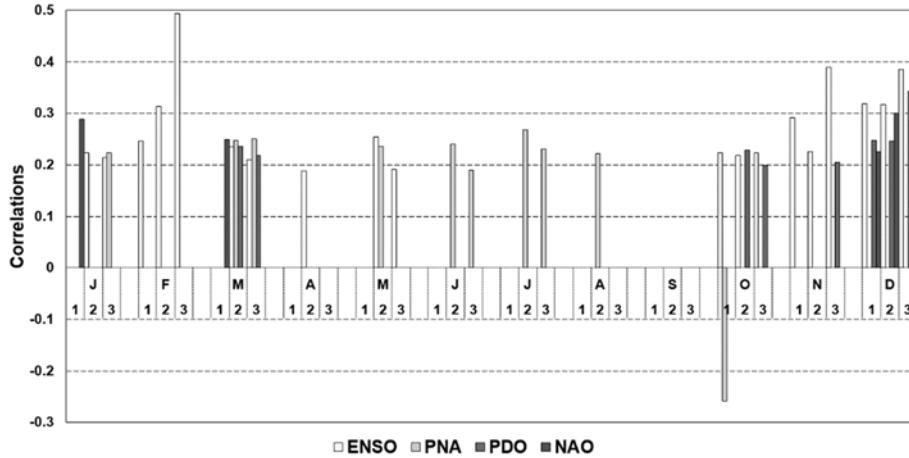
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Figure 1. Texas precipitation regions identified using a VARIMAX EOF analysis based on the first 3 EOFs.

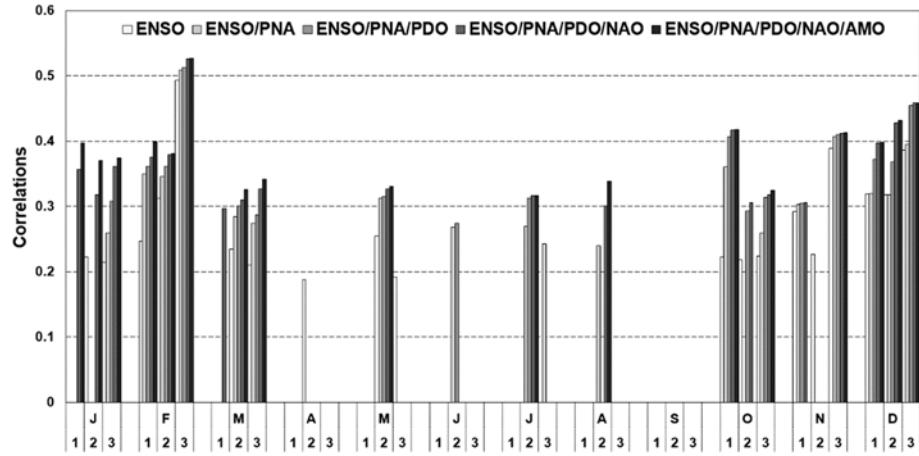
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Figure 2. Correlations between each teleconnection and monthly precipitation anomalies from 1901-2010 in each region (no lag). Only the correlations that are statistically significant at a 95% confidence level are shown.

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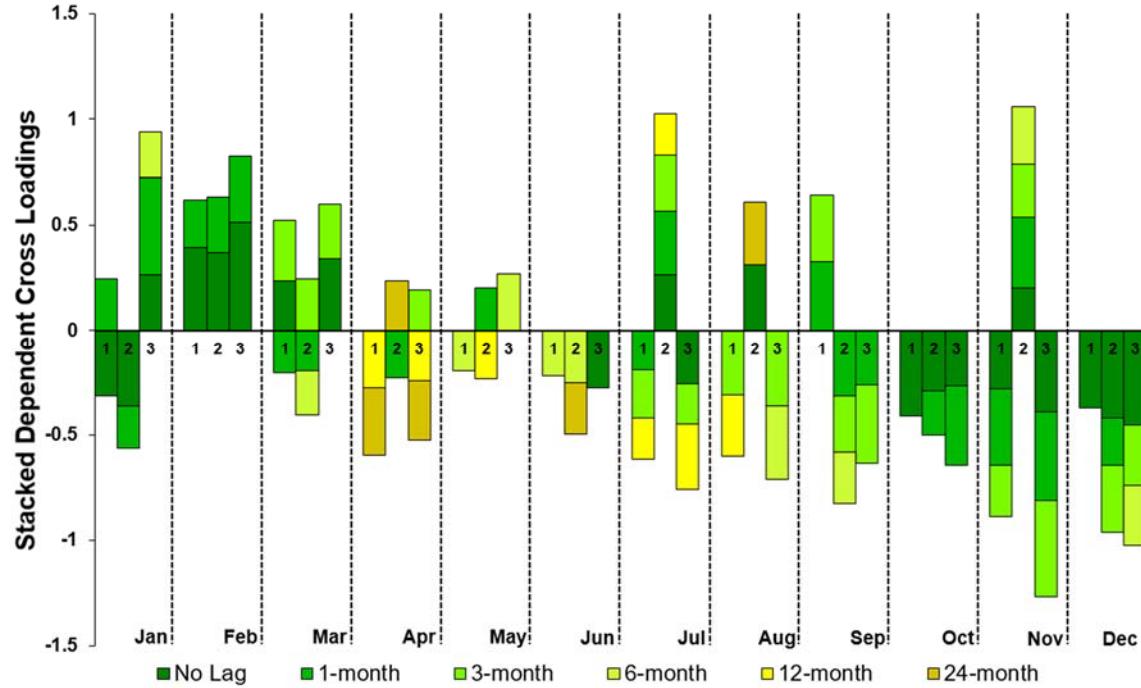


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Figure 3. Correlations between multiple teleconnections and monthly precipitation anomalies from 1901-2010 in each region (no lag). Only the correlations that are statistically significant at a 95% confidence level are shown.

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Figure 4. Clustered stacked bar chart showing dependent cross loadings from the CCA for all teleconnections and monthly precipitation for all regions at various time lags (0 to 24 months). Only the dependent canonical cross loadings that are statistically significant at the 95% confidence level are shown.

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664

Figure 5. Map of CPC climate divisions with regions used in this study highlighted in red
(<http://www.vwt.ncep.noaa.gov/>)

665

666

667 **Table 1.** Model evaluation statistics for the precipitation forecasts (0-month lead) of
 668 January in the three regions based on the 1981-2010 forecast evaluation period.

	All five teleconnections				ENSO			
	R ²	P	RMSE(mm)	MAE(mm)	R ²	P	RMSE(mm)	MAE(mm)
Region 1	0.15	0.03	49.11	39.25	0.07	0.16	44.96	36.47
Region 2	0.08	0.14	24.55	18.67	0.05	0.23	25.24	18.45
Region 3	0.48	0.00	28.76	22.46	0.41	0.00	28.55	24.25

669

670 **Table 2.** Comparison of Heidke Skill Scores between the CCA-based precipitation
 671 forecasts model and the CPC for the month of January in three regions (0-month lead)

HSS 2005-2010 Direct Comparison				HSS 2000-2010 (CCA) and 2005-2015 (CPC) Indirect Comparison			
CCA		CPC		CCA		CPC	
Region 1	0.08	Region 60	-0.25	Region 1	0.31	Region 60	-0.18
		Region 61	0.50			Region 61	0.36
		Average	0.13			Average	0.09
Region 2	0.67	Region 54	0.75	Region 2	0.44	Region 54	0.36
		Region 55	0.75			Region 55	0.36
		Region 64	0.50			Region 64	0.36
		Region 65	0.50			Region 65	0.32
		Average	0.63			Average	0.35
Region 3	0.45	Region 62	0.25	Region 3	0.33	Region 62	0.09
		Region 63	0.50			Region 63	0.36
		Average	0.38			Average	0.23

672